

| | | | | |
|---|----------------------|---------------------|------------|--|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Application Number | | 10576428 | |
| | Filing Date | | 2004-10-22 | |
| | First Named Inventor | Martin S. Zand | | |
| | Art Unit | 1644 | | |
| | Examiner Name | Ronald B. Schwadron | | |
| Attorney Docket Number | | 176/61692 | | |

| U.S.PATENTS | | | | | | |
|-------------------|---------|---------------|------------------------|------------|---|--|
| Examiner Initial* | Cite No | Patent Number | Kind Code ¹ | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
| | 1 | | | | | |

If you wish to add additional U.S. Patent citation information please click the Add button.

| U.S.PATENT APPLICATION PUBLICATIONS | | | | | | |
|-------------------------------------|---------|--------------------|------------------------|------------------|---|--|
| Examiner Initial* | Cite No | Publication Number | Kind Code ¹ | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
| | 1 | | | | | |

If you wish to add additional U.S. Published Application citation information please click the Add button.

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|---------|--------------------------------------|-----------------------------|------------------------|------------------|---|--|--------------------------|
| Examiner Initial* | Cite No | Foreign Document Number ³ | Country Code ² j | Kind Code ⁴ | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T ⁵ |
| /R.S./ | 1 | 1999047166 | WO | A1 | 1999-09-23 | Revillard et al. | | <input type="checkbox"/> |
| /R.S./ | 2 | 1999025367 | WO | A2 | 1999-05-27 | Sykes et al. | | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button

| NON-PATENT LITERATURE DOCUMENTS | | | | | | | |
|---------------------------------|--|--|--|--|--|--|--|
|---------------------------------|--|--|--|--|--|--|--|

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|---------------------|
| Application Number | 10576428 |
| Filing Date | 2004-10-22 |
| First Named Inventor | Martin S. Zand |
| Art Unit | 1644 |
| Examiner Name | Ronald B. Schwadron |
| Attorney Docket Number | 176/61692 |

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T ⁵ |
|--------------------|---------|---|--------------------------|
| /R.S./ | 1 | BONNEFOY et al. "Mechanisms of Immunosuppression Induced by Antithymocyte Globulins and OKT3," Journal of Heart and Lung Transplantation, 15:435-442 (1996) | <input type="checkbox"/> |
| /R.S./ | 2 | DUBREY et al. "Long Term Results of Heart Transplantation in Patients With Amyloid Heart Disease," Heart (British Cardiac Society), 85(2): 202-207 (2001) | <input type="checkbox"/> |
| /R.S./ | 3 | MATTHEWS et al. "Clinical Trials of Transplant Tolerance: Slow But Steady Progress," American Journal of Transplantation, 3(7): 1600-6135 (2003) | <input type="checkbox"/> |
| /R.S./ | 4 | MOHTY et al. "Graft-Versus-Myeloma Effect Following Antithymocyte Globulin-Based Reduced Intensity Conditioning Allogeneic Stem Cell Transplantation," Bone Marrow Transplantation, 34(1): 0268-3369 (2004) | <input type="checkbox"/> |
| /R.S./ | 5 | TIMM et al. "Thymoglobulin Targets Multiple Plasma Cell Antigens and has In Vitro and In Vivo Activity in Multiple Myeloma," Leukemia: Official Journal of the Leukemia Society of America, 20(10): 1863-1869 (2006) | <input type="checkbox"/> |
| /R.S./ | 6 | ZAND et al. "Apoptosis and Complement-Mediated Lysis of Myeloma Cells By Polyclonal Rabbit Antithymocyte Globulin," Blood, 107(7): 2895-2903 (2006) | <input type="checkbox"/> |
| /R.S./ | 7 | MATHAS et al., "Anti-CD20- and B-cell Receptor-Mediated Apoptosis: Evidence for Shared Intracellular Signaling Pathways," Cancer Res 60:7170-7176 (2000) | <input type="checkbox"/> |
| /R.S./ | 8 | Search Report in EP 04 79 6115. | <input type="checkbox"/> |

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

| | | | |
|--------------------|--------------------|-----------------|------------|
| Examiner Signature | /Ronald Schwadron/ | Date Considered | 06/13/2008 |
|--------------------|--------------------|-----------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|---------------------|
| Application Number | 10576428 |
| Filing Date | 2004-10-22 |
| First Named Inventor | Martin S. Zand |
| Art Unit | 1644 |
| Examiner Name | Ronald B. Schwadron |
| Attorney Docket Number | 176/61692 |

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.